

Systematic workflow with gate reviews

Idea Screening

Technical Evaluation

Development

Scale-up & Engineering

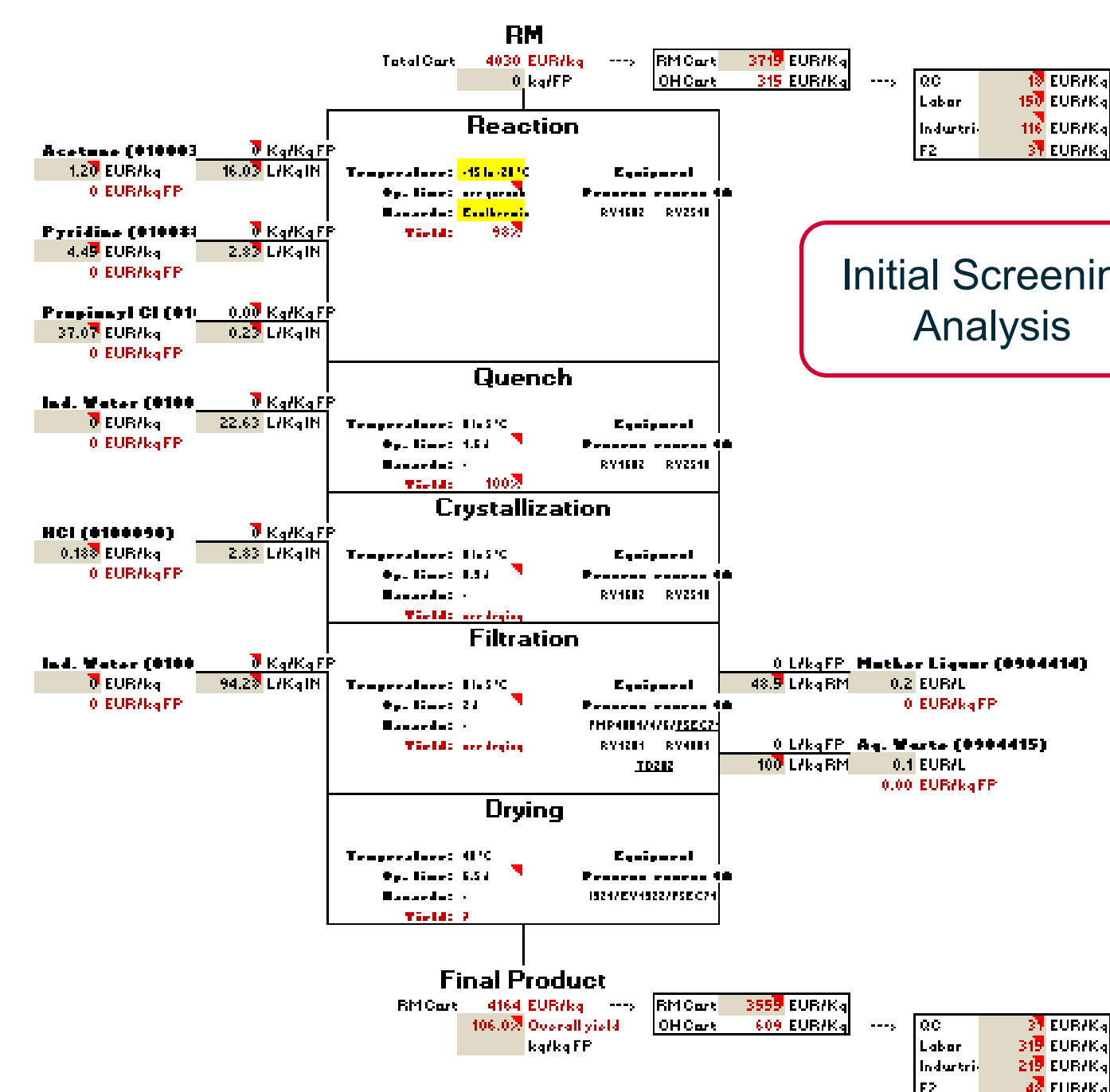
Tech Transfer & Industrialization

Identify Drivers and Objectives

Identify Business Drivers

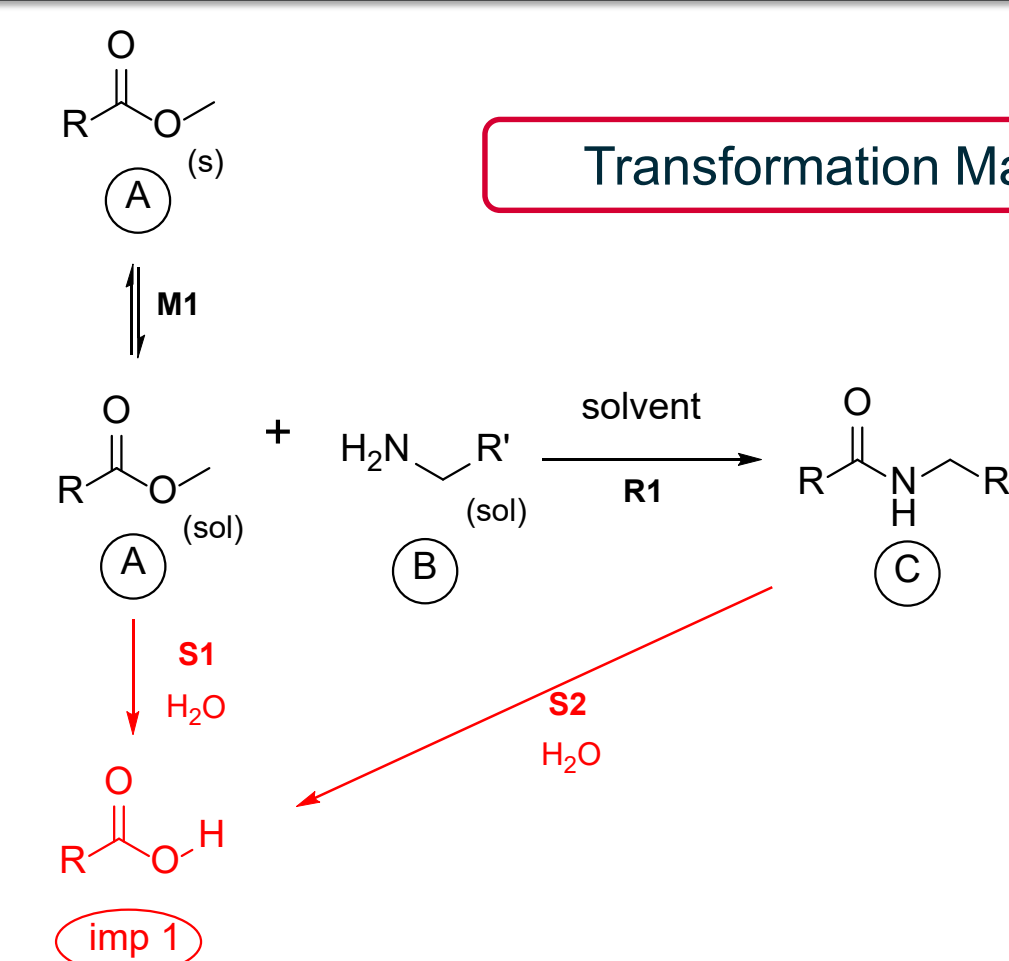
| | | |
|------------|----------------|----------------------|
| Safety | Quality | Cost |
| Efficiency | Time to Market | Environmental Impact |

Economic Analysis



Identify constraints and risks, and develop an understanding of the process

Define Basic Chemistry



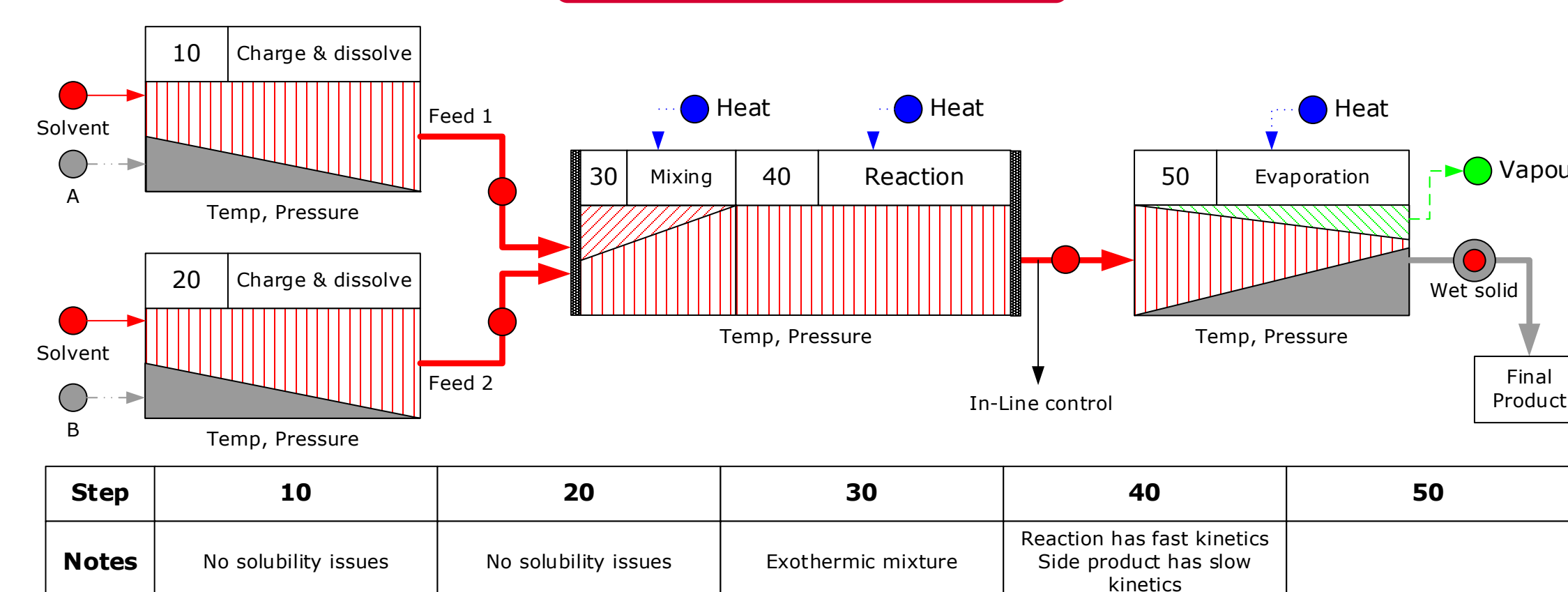
Compatibility Study

| | Products | | | | Environment | | Materials | | | |
|-------------------|----------|---|---------|---|-------------|----------------|-----------|----|----------|-----------------|
| Starting Material | A | B | Solvent | C | Air | Water/Moisture | Glass | SS | PTFE/PFA | Gasket material |
| A | | | | | | | | | | |
| B | | | | | | | | | | |
| Solvent | | | | | | | | | | |
| C | | | | | | | | | | |

D – Decomposition H – Heat Release R – Main Reaction Im – Immiscible
c – combustion-supporting SR – Side Reaction ? – Information not available

Evaluate Batch Process & Step Continuous Process

Process Definition Diagram



Identify Benefits and Barriers of Flow

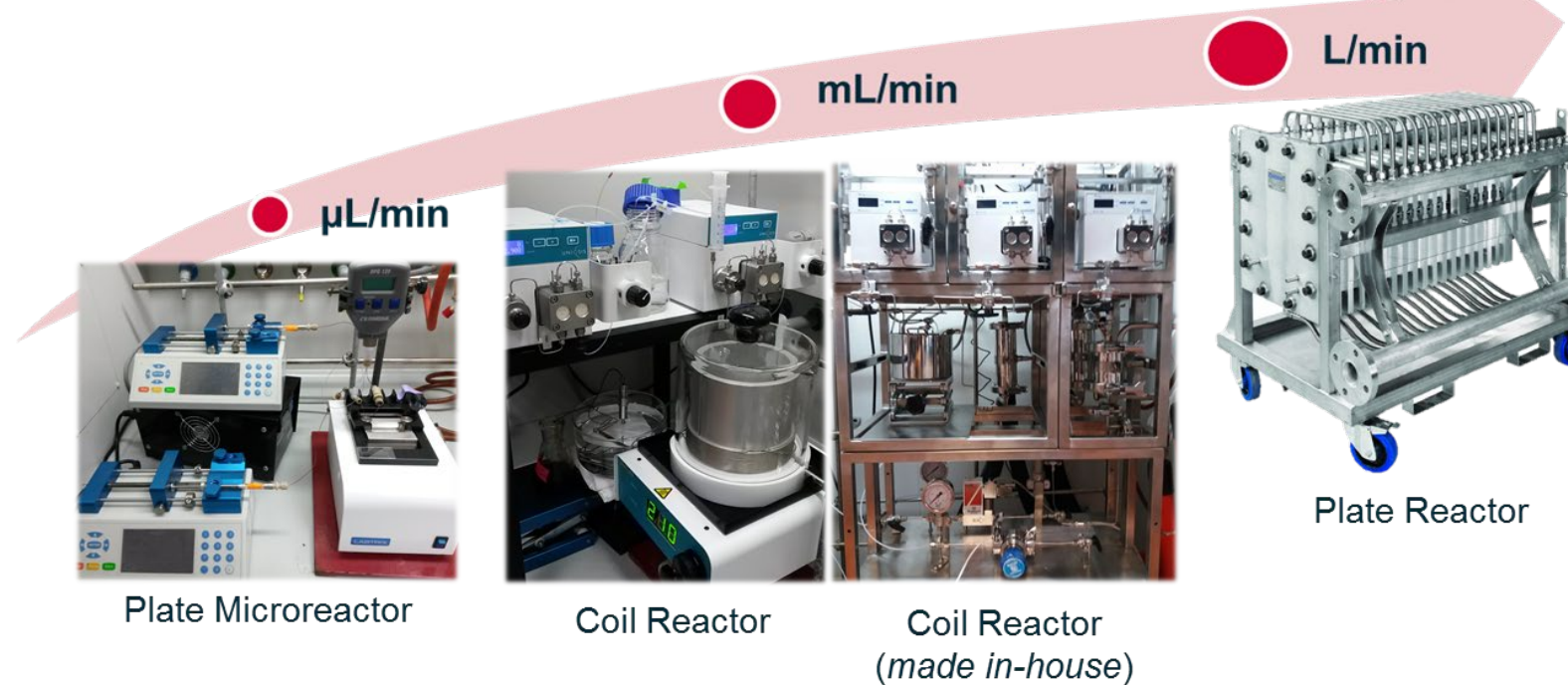
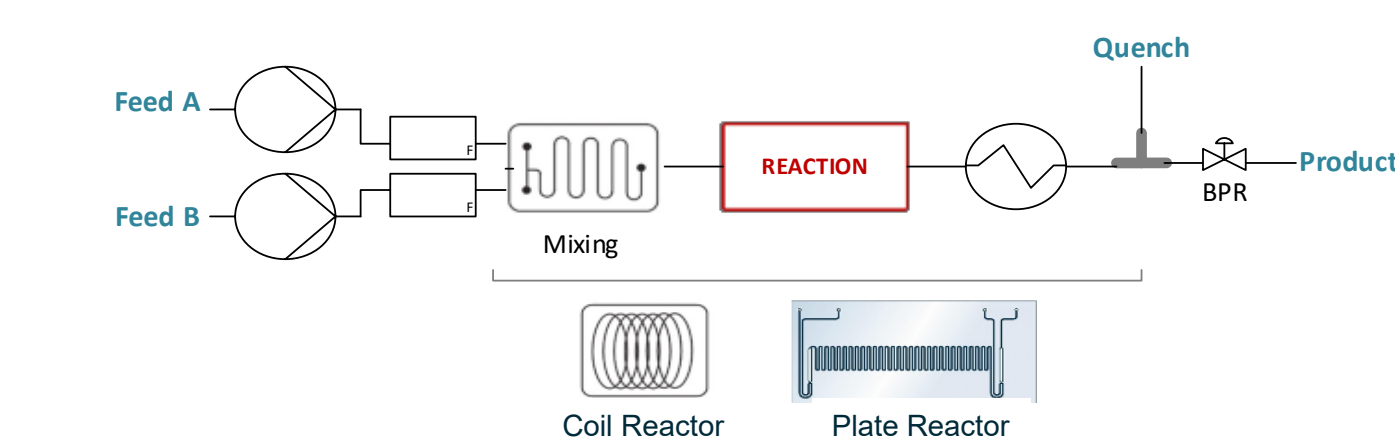
| Characteristics | Batch Process | | Notes | Benefits | | | | | | Barriers |
|--|---------------------|---------------------|--|----------|------|---------|------------|----------------------|----------------|----------|
| | Reaction (Step 1) | Quench (Step 2) | | Safety | Cost | Quality | Efficiency | Environmental Impact | Time to Market | |
| Reaction Rate | 15 min (-5-0°C) | 10 min (-5-0°C) | Can be improved exploring T or P (intensification) | | x | x | x | | | |
| Heat Generation | Exothermic addition | Exothermic addition | Cooling system may be required (better T control) | | | x | | | | |
| Mass Transfer Limited (reaction or workup) | No | No | Start material cooling or underpressure | | | | | | | |
| Side Reaction(s) | Yes (significant?) | No | In-line control | | x | x | x | | | |
| Hazards | No | No | Safer in continuous | x | | | | x | | |
| Work-up | NA | No | | | | | | | | |

NS – Not Significant T – Temperature t – time P – Pressure NA – Not Applicable
b.p. – boiling point RC – Regulated Compound

Define scale-up approach Risk Assessment II (FMEA) Define PAT and Control Strategy Identify regulatory and compliance requirements

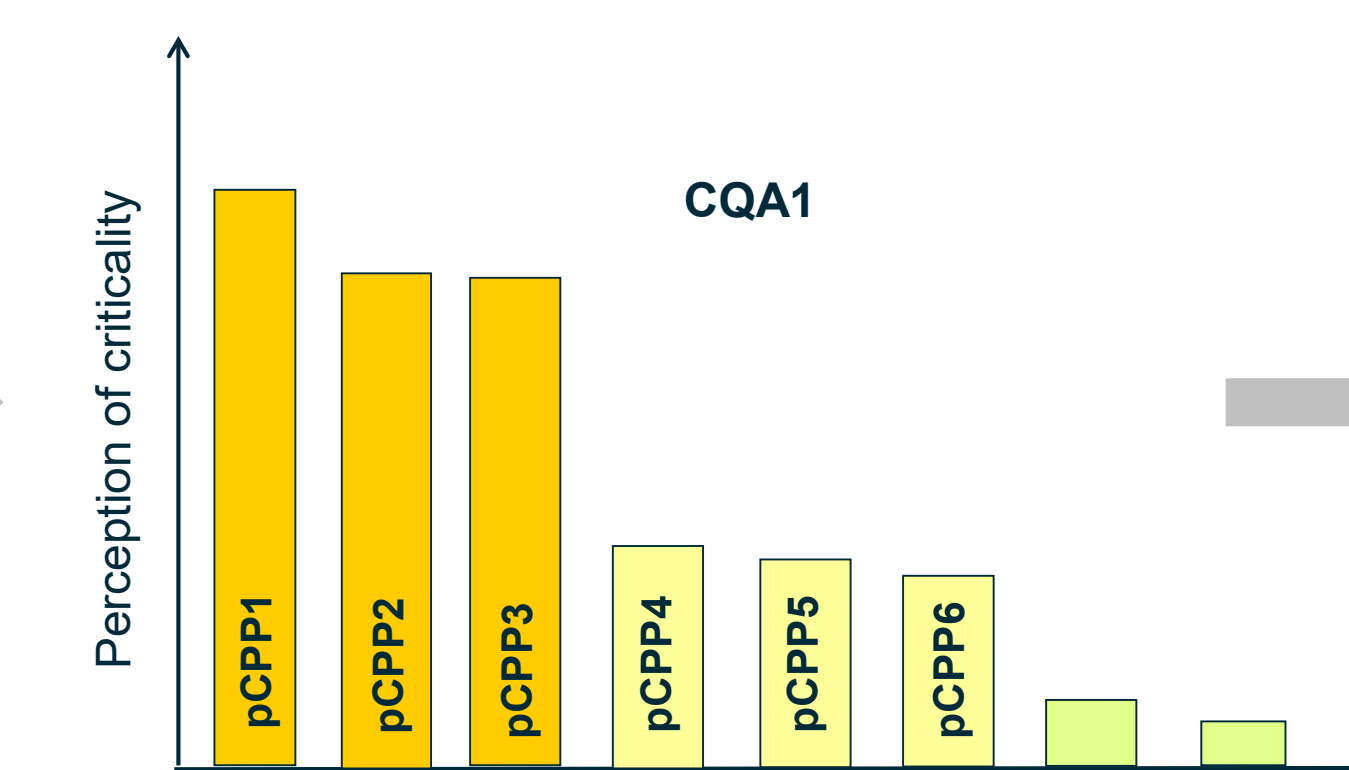
Define Adequate Experimental Setup

| Technology & Applications Database | |
|------------------------------------|---|
| Technology Information | |
| Type | Equipment |
| SubType | Reactor |
| Technology | Microreactor |
| Description | Continuous flow reactor in which chemical reactions take place in micro channels. |
| Applications | Reaction benefits from pressure Unstable intermediates |
| Application Examples | |
| General Information | |
| Advantages/Disadv. | Micro Reactors offer many advantages/disadvantages. |
| StateOfMaterials | Homogeneous solutions |
| Thermodynamic | Exothermic |
| Kinetic | Fast |
| Chemical Features | <input checked="" type="checkbox"/> HighPressure <input checked="" type="checkbox"/> MeltingPointSolvent <input checked="" type="checkbox"/> MoistureSensitive <input checked="" type="checkbox"/> ThermalDegradation <input checked="" type="checkbox"/> Quenching <input checked="" type="checkbox"/> Solid Formation <input checked="" type="checkbox"/> Mass Transfer Limitations |



Risk Assessment I

| Description | Potentially Critical Process Parameters (pCPP's) | | | |
|----------------------|--|--------|--------|---------|
| | Critically perception (1-5) | | | |
| | User 1 | User 2 | User 3 | Average |
| Overall flow rate | 5 | 5 | 5 | 5 |
| Ratio A:B | 5 | 5 | 5 | 5 |
| Temperature feed A | 1 | 2 | 1 | 1 |
| Temperature feed B | 1 | 2 | 1 | 1 |
| Reaction temperature | 5 | 5 | 5 | 5 |
| Pressure | 3 | 1 | 2 | 3 |



Pareto rule
for many events,
~80% of the effects
come from ~20%
of the causes

Prove of Concept, Process Understanding, and Optimization Studies

Design of Experiments (DoE)

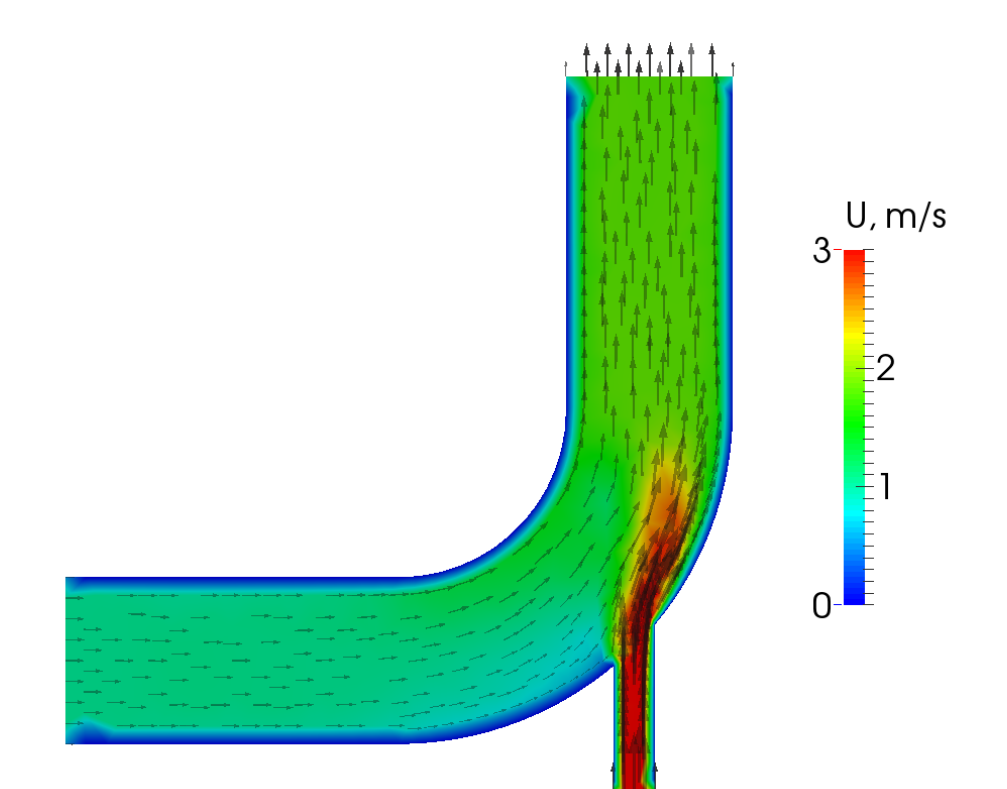
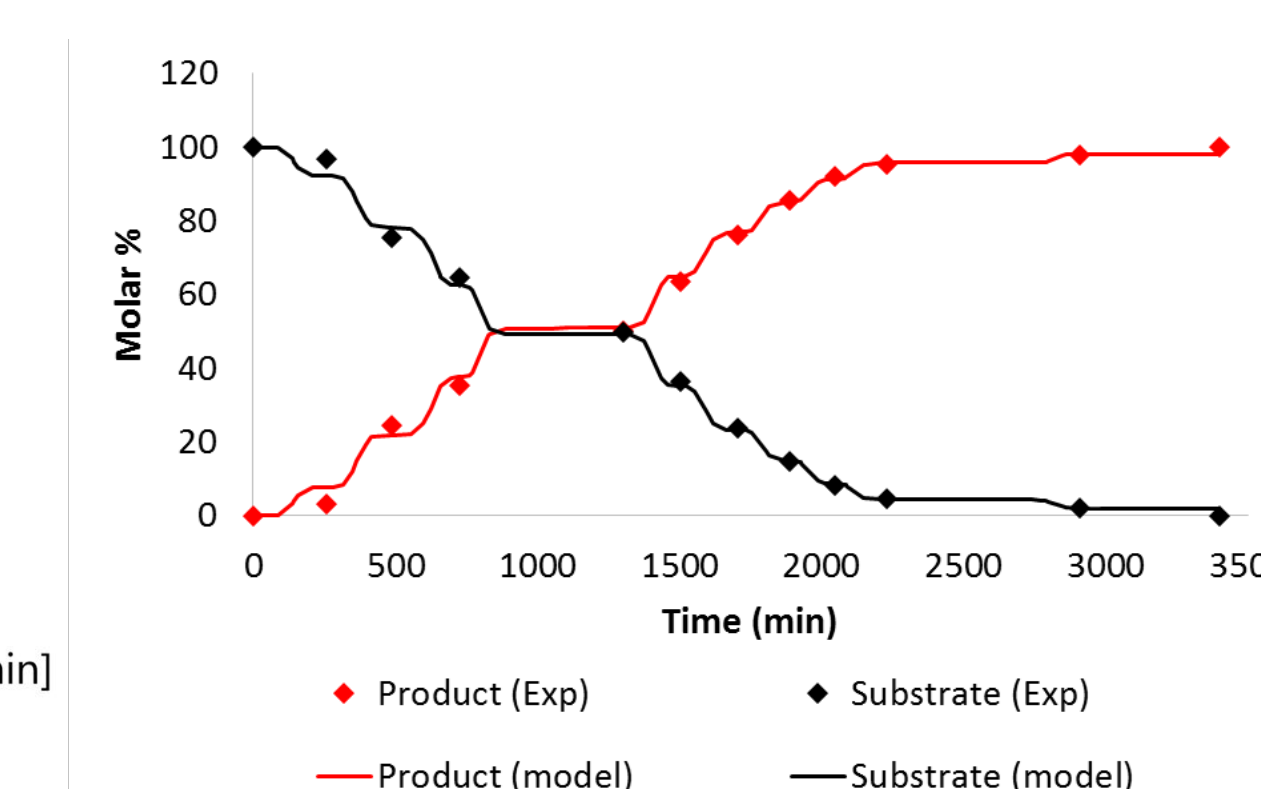
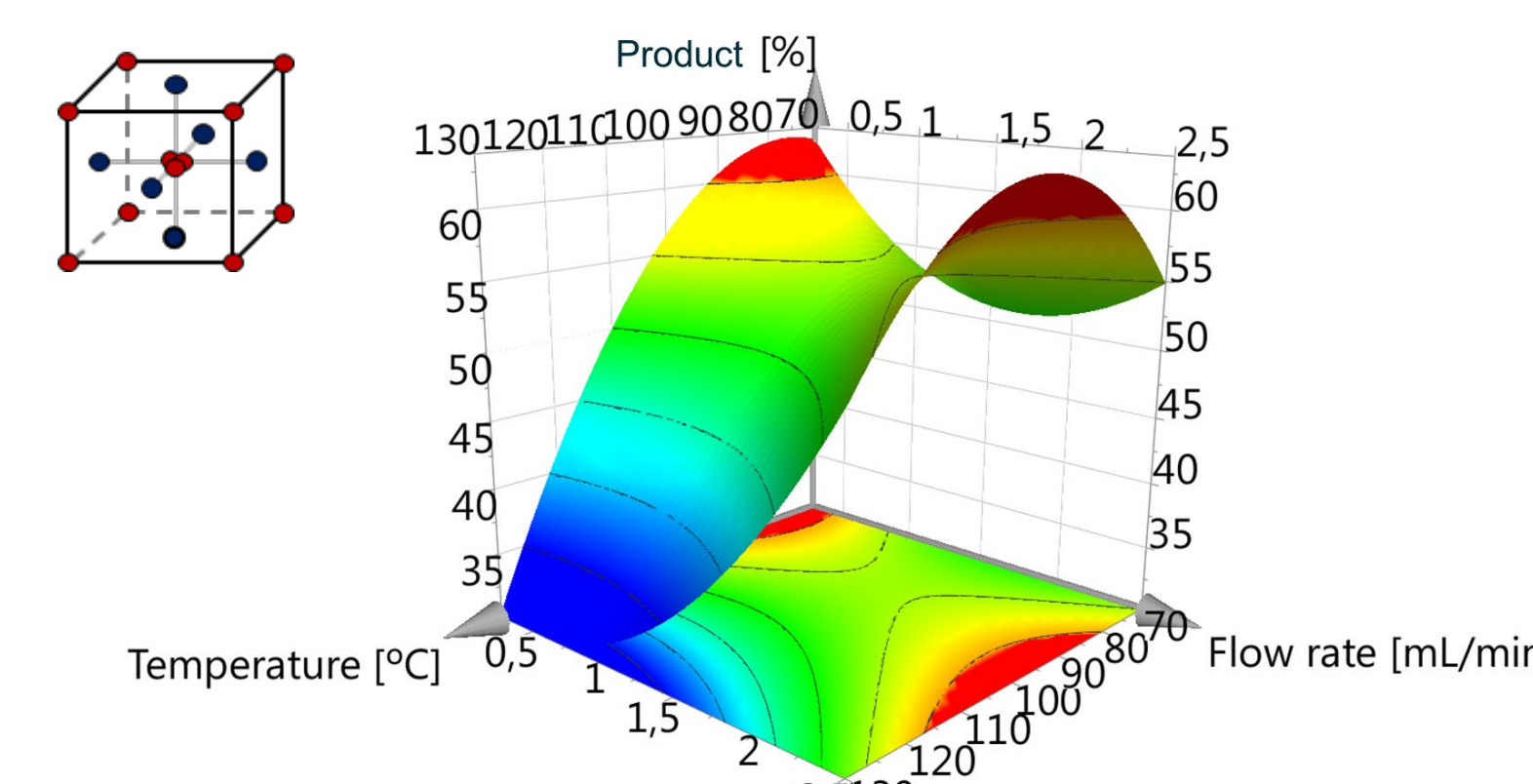
- Optimization of process parameters
- Evaluate interactions between process parameters

Kinetic Modeling & Simulation

- Determine operation kinetics
- Predict operation scale-up

Computational Fluid Dynamics (CFD)

- Evaluate flow regime
- Evaluate impact when scaling-up



Approaches/Tools

- Adoption of **Quality by Design** lifecycle approach
- Selection of the most appropriate development approach: **DoE** (Modde), **Modeling** (Dynochem, CFD)
- Support activities using **Britest** toolbox and methodologies (ISA, PDD, TM, etc.)